

**S/N 10/693,836**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert N. Goman et al. Examiner: Karl D Frech  
Serial No.: 10/693,836 Group Art Unit: 2876  
Filed: October 23, 2003 Docket: 457.010US3  
Title: SMART CARD PERSONALIZATION IN A MULTISTATION ENVIRONMENT

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**INFORMATION DISCLOSURE STATEMENT**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Notification of this change to this effect was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004 and October 19, 2004. Thus, Applicant has not included copies of any US Patents or US Patent Applications identifiable by serial number that may be cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

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Page 2

Dkt: 457.010US3

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

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Date August 24, 2007

By / *Ann M. McCrackin*  
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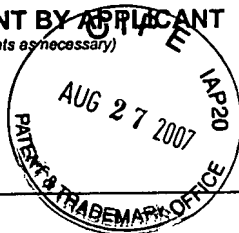
**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 24th day of August 2007.

Name *Amy Moriarty*

Signature *[Signature]*

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	10/693,836
<b>Filing Date</b>	October 23, 2003
<b>First Named Inventor</b>	Goman, Robert
<b>Group Art Unit</b>	2876
<b>Examiner Name</b>	Frech, Karl

Sheet 1 of 2

Attorney Docket No: 457.010US3

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-20020002145A1	01/03/2002	Cronstein, B. N., et al.	02/12/2001
	US-4,772,782	09/20/1988	Nonat, Jean-Pierre	12/27/1985
	US-4,874,935	10/17/1989	Younger, Thomas L.	10/05/1988
	US-5,025,399	06/18/1991	Wendt, Richard W., et al.	09/23/1988
	US-5,266,781	11/30/1993	Warwick, Dennis J., et al.	08/15/1991
	US-5,534,857	07/09/1996	Laing, Simon G., et al.	11/10/1992
	US-5,684,742	11/04/1997	Bublitz, H., et al.	06/12/1996
	US-5,799,316	08/25/1998	Audren, James	10/05/1995
	US-5,889,941	03/30/1999	Tushie, David R., et al.	11/22/1996

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
	EP-0706150B1 (with English Abstract)	04/10/1995	James, A.	
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	JP-08-212310	08/20/1996	James, A.	
	WO-95/22810	08/24/1995	Carlsson, Jan , et al.	
	WO-97/39424	10/23/1997	Tushie, David R., et al.	
	WO-98/09257	08/29/1997	Lisimaque, Gilles , et al.	
	WO-98/18106 (with English Abstract)	04/30/1998	Moraine, Michel , et al.	
	WO-9959109A1	11/18/1999	Goman, R. N., et al.	

**EXAMINER****DATE CONSIDERED**

Substituting Disclosure Statement Form (PTO-1448)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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<b>Group Art Unit</b>	2876
<b>Examiner Name</b>	Frech, Karl

Sheet 2 of 2

Attorney Docket No: 457.010US3

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Application Serial No. 09/076022 (Atty Ref. 457.010US1) Response filed on 01/05/2000 to Non-Final Office Action mailed 10/05/1999", (01/05/2000),5	
		"Final office action mailed 03-01-2000 in Serial No 09-076022 (Atty Ref 457.010US1)", 9 pgs	
		"International Search Report for PCT/US1999/10328 mailed 09/10/1999", (09/10/1999),7	
		"Non-final office action mailed 04-23-2003 in Serial No 09-798455 (Atty Ref 457.010US2)", 7 pgs	
		"Non-final office action mailed 10-05-1999 in Serial No 09-076022 (Atty Ref 457.010US1)", 8 pgs	
		"Notice of allowance mailed 09-15-2000 in Serial No 09-076022 (Atty Ref 457.010US1)", 13 pgs	
		"Response filed 08-30-2000 to final office action mailed 05-01-2000 in Serial No 09-076022 (Atty Ref 457.010US1)", 6 pgs	
		"Response to the Written Opinion filed on 06/16/2000 for PCT/US1999/10328", (06/16/2000),5	
		"Written Opinion for PCT/US1999/10328 mailed 02/17/2000", (02/17/2000),8	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

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